



# **Payment Card Industry Data Security Standard**

---

## **Attestation of Compliance for Report on Compliance – Service Providers**

**Version 4.0.1**

Publication Date: August 2024



## PCI DSS v4.0.1 Attestation of Compliance for Report on Compliance – Service Providers

**Entity Name: Wazuh Inc.**

**Date of Report as noted in the Report on Compliance: 2025-Dec-30**

**Date Assessment Ended: 2025-Dec-26**



## Section 1: Assessment Information

### Instructions for Submission

This Attestation of Compliance (AOC) must be completed as a declaration of the results of the service provider's assessment against the *Payment Card Industry Data Security Standard (PCI DSS) Requirements and Testing Procedures* ("Assessment"). Complete all sections. The service provider is responsible for ensuring that each section is completed by the relevant parties, as applicable. Contact the entity(ies) to which this AOC will be submitted for reporting and submission procedures.

This AOC reflects the results documented in an associated Report on Compliance (ROC). Associated ROC sections are noted in each AOC Part/Section below.

Capitalized terms used but not otherwise defined in this document have the meanings set forth in the PCI DSS Report on Compliance Template.

#### Part 1. Contact Information

##### Part 1a. Assessed Entity (ROC Section 1.1)

Company name:	Wazuh Inc.
DBA (doing business as):	N/A
Company mailing address:	1021 Lenor Way, San Jose, CA 95128
Company main website:	<a href="https://wazuh.com">https://wazuh.com</a>
Company contact name:	Santiago Bassett
Company contact title:	CEO
Contact phone number:	1-408-724-0059
Contact e-mail address:	<a href="mailto:Santiago@wazuh.com">Santiago@wazuh.com</a>

##### Part 1b. Assessor (ROC Section 1.1)

Provide the following information for all assessors involved in the Assessment. If there was no assessor for a given assessor type, enter Not Applicable.

##### PCI SSC Internal Security Assessor(s)

ISA name(s):	N/A- No ISAs were involved in the project.
--------------	--

##### Qualified Security Assessor

Company name:	MegaplanIT Holdings, LLC
Company mailing address:	18700 N Hayden Road, Suite 245, Scottsdale, AZ 85255
Company website:	<a href="https://www.megaplanit.com">https://www.megaplanit.com</a>
Lead Assessor name:	Mark Repka
Assessor phone number:	480-891-1634
Assessor e-mail address:	<a href="mailto:MRepka@megaplanit.com">MRepka@megaplanit.com</a>
Assessor certificate number:	405-205



## Part 2. Executive Summary

### Part 2a. Scope Verification

#### Services that were INCLUDED in the scope of the Assessment (select all that apply):

Name of service(s) assessed:	Wazuh Cloud (Logging Aggregation System)		
Type of service(s) assessed:			
<b>Hosting Provider:</b>	<b>Managed Services:</b>	<b>Payment Processing:</b>	
<input type="checkbox"/> Applications / software <input type="checkbox"/> Hardware <input type="checkbox"/> Infrastructure / Network <input type="checkbox"/> Physical space (co-location) <input type="checkbox"/> Storage <input type="checkbox"/> Web-hosting services <input type="checkbox"/> Security services <input type="checkbox"/> 3-D Secure Hosting Provider <input type="checkbox"/> Multi-Tenant Service Provider <input type="checkbox"/> Other Hosting (specify):  <input type="checkbox"/> Account Management <input type="checkbox"/> Back-Office Services <input type="checkbox"/> Billing Management <input type="checkbox"/> Clearing and Settlement  <input type="checkbox"/> Network Provider  <input type="checkbox"/> Others (specify): 	<input type="checkbox"/> Systems security services <input type="checkbox"/> IT support <input type="checkbox"/> Physical security <input type="checkbox"/> Terminal Management System <input checked="" type="checkbox"/> Other services (specify): Log Generation, Aggregation, and Storage	<input type="checkbox"/> POI / card present <input type="checkbox"/> Internet / e-commerce <input type="checkbox"/> MOTO / Call Center <input type="checkbox"/> ATM <input type="checkbox"/> Other processing (specify):	
		<input type="checkbox"/> Payment Gateway/Switch <input type="checkbox"/> Prepaid Services <input type="checkbox"/> Records Management <input type="checkbox"/> Tax/Government Payments	

**Note:** These categories are provided for assistance only and are not intended to limit or predetermine an entity's service description. If these categories do not apply to the assessed service, complete "Others." If it is not clear whether a category could apply to the assessed service, consult with the entity(ies) to which this AOC will be submitted.



## Part 2. Executive Summary (continued)

### Part 2a. Scope Verification (continued)

**Services that are provided by the service provider but were NOT INCLUDED in the scope of the Assessment (select all that apply):**

Name of service(s) not assessed:	N/A	
Type of service(s) not assessed:		
<b>Hosting Provider:</b> <input type="checkbox"/> Applications / software <input type="checkbox"/> Hardware <input type="checkbox"/> Infrastructure / Network <input type="checkbox"/> Physical space (co-location) <input type="checkbox"/> Storage <input type="checkbox"/> Web-hosting services <input type="checkbox"/> Security services <input type="checkbox"/> 3-D Secure Hosting Provider <input type="checkbox"/> Multi-Tenant Service Provider <input type="checkbox"/> Other Hosting (specify):  <input type="checkbox"/> Account Management <input type="checkbox"/> Back-Office Services <input type="checkbox"/> Billing Management <input type="checkbox"/> Clearing and Settlement <input type="checkbox"/> Network Provider  <input type="checkbox"/> Others (specify):  Provide a brief explanation why any checked services were not included in the Assessment:	<b>Managed Services:</b> <input type="checkbox"/> Systems security services <input type="checkbox"/> IT support <input type="checkbox"/> Physical security <input type="checkbox"/> Terminal Management System <input type="checkbox"/> Other services (specify):  <input type="checkbox"/> Fraud and Chargeback <input type="checkbox"/> Issuer Processing <input type="checkbox"/> Loyalty Programs <input type="checkbox"/> Merchant Services	<b>Payment Processing:</b> <input type="checkbox"/> POI / card present <input type="checkbox"/> Internet / e-commerce <input type="checkbox"/> MOTO / Call Center <input type="checkbox"/> ATM <input type="checkbox"/> Other processing (specify):

### Part 2b. Description of Role with Payment Cards (ROC Sections 2.1 and 3.1)

Describe how the business stores, processes, and/or transmits account data.	Wazuh provides a cloud-based platform in which agents installed on various systems on premises and cloud based devices for their clients' report usage statistics, logs, and perform vulnerability scanning of system components back to the central cloud hub.  Wazuh offers a payment page with integrated I-Frame for customers to pay for their Wazuh services. At no time during this interaction does Wazuh process, transmit, or store PAN. This is outsourced to an Iframe.
---	---



<p>Describe how the business is otherwise involved in or has the ability to impact the security of its customers' account data.</p>	<p>Wazuh does not directly handle credit card data within their cloud-based logging aggregation system. However, it is possible for clients to leak credit card data into Wazuh systems in the logs which they aggregate. The log aggregation system is not purposed for storage, transmission or processing of credit card data.</p> <p>Wazuh accepts credit cards for payment of their cloud services via Stripe iFrame integration.</p>
<p>Describe system components that could impact the security of account data.</p>	<p>Wazuh's infrastructure could impact the security of account data by the data entered into the iFrame solution for payment of the solution. Additionally log aggregation of a client may affect the security of account data if Wazuh is being used for the central log repository of the client.</p>



## Part 2. Executive Summary (continued)

### Part 2c. Description of Payment Card Environment

Provide a high-level description of the environment covered by this Assessment.

*For example:*

- *Connections into and out of the cardholder data environment (CDE).*
- *Critical system components within the CDE, such as POS devices, databases, web servers, etc., and any other necessary payment components, as applicable.*
- *System components that could impact the security of account data.*

The assessor observed connections in and out of the Wazuh Cloud environment, data-flows from Wazuh agents, firewalls, access control mechanisms, and system settings. In addition, the assessor observed trial, retail, development, and administrative environments for the Wazuh cloud services.

Indicate whether the environment includes segmentation to reduce the scope of the Assessment.

Yes  No

(Refer to the “Segmentation” section of PCI DSS for guidance on segmentation)

### Part 2d. In-Scope Locations/Facilities

#### (ROC Section 4.6)

List all types of physical locations/facilities (for example, corporate offices, data centers, call centers and mail rooms) in scope for this Assessment.

Facility Type	Total Number of Locations (How many locations of this type are in scope)	Location(s) of Facility (city, country)
<i>Example: Data centers</i>	3	<i>Boston, MA, USA</i>
Amazon Web Services	3	Oregon, California, Virginia, USA
Amazon Web Services	1	London, UK
Amazon Web Services	1	Frankfurt, GE
Amazon Web Services	1	Singapore, HK
Amazon Web Services	1	Sydney, AUS
Amazon Web Services	1	Mumbai, IN
Amazon Web Services	1	Canada, CA
Amazon Web Services	1	Tokyo, JP



## Part 2. Executive Summary (continued)

### Part 2e. PCI SSC Validated Products and Solutions (ROC Section 3.3)

Does the entity use any item identified on any PCI SSC Lists of Validated Products and Solutions\*?

Yes  No

Provide the following information regarding each item the entity uses from PCI SSC's Lists of Validated Products and Solutions:

Name of PCI SSC validated Product or Solution	Version of Product or Solution	PCI SSC Standard to which Product or Solution Was Validated	PCI SSC Listing Reference Number	Expiry Date of Listing

\* For purposes of this document, "Lists of Validated Products and Solutions" means the lists of validated products, solutions, and/or components, appearing on the PCI SSC website ([www.pcisecuritystandards.org](http://www.pcisecuritystandards.org)) (for example, 3DS Software Development Kits, Approved PTS Devices, Validated Payment Software, Point to Point Encryption (P2PE) solutions, Software-Based PIN Entry on COTS (SPoC) solutions, Contactless Payments on COTS (CPoC) solutions), and Mobile Payments on COTS (MPoC) products.



## Part 2. Executive Summary (continued)

## **Part 2f. Third-Party Service Providers (ROC Section 4.4)**

For the services being validated, does the entity have relationships with one or more third-party service providers that:

<ul style="list-style-type: none"><li>• Store, process, or transmit account data on the entity's behalf (for example, payment gateways, payment processors, payment service providers (PSPs, and off-site storage))</li><li>• Manage system components included in the entity's Assessment (for example, via network security control services, anti-malware services, security incident and event management (SIEM), contact and call centers, web-hosting companies, and IaaS, PaaS, SaaS, and FaaS cloud providers)</li><li>• Could impact the security of the entity's CDE (for example, vendors providing support via remote access, and/or bespoke software developers).</li></ul>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--	---

**If Yes:**

Name of Service Provider:	Description of Services Provided:
Amazon Web Services	Hosting Provider
Stripe Inc.	iFrame Provider

**Note:** Requirement 12.8 applies to all entities in this list.



## Part 2. Executive Summary (continued)

### Part 2g. Summary of Assessment (ROC Section 1.8.1)

Indicate below all responses provided within each principal PCI DSS requirement.

For all requirements identified as either “Not Applicable” or “Not Tested,” complete the “Justification for Approach” table below.

**Note:** One table to be completed for each service covered by this AOC. Additional copies of this section are available on the PCI SSC website.

Name of Service Assessed: Wazuh Cloud (Logging Aggregation System)

PCI DSS Requirement	Requirement Finding				Select If a Compensating Control(s) Was Used
	In Place	Not Applicable	Not Tested	Not in Place	
Requirement 1:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 2:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 3:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 4:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 5:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 6:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 7:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 8:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 9:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 10:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 11:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 12:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Appendix A1:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Appendix A2:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

#### Justification for Approach

<p>For any Not Applicable responses, identify which sub-requirements were not applicable and the reason.</p>	<p>1.2.6- N/A- Entity does not have insecure services, ports, or protocols</p> <p>1.3.3- N/A- Entity does not have wireless networking</p> <p>1.4.4- N/A- Entity does not store cardholder data</p> <p>2.2.5- N/A- Entity does not host any insecure services, protocols, or daemons</p> <p>2.3.1- N/A- Entity does not have wireless networking</p> <p>2.3.2- N/A- Entity does not have wireless networking</p> <p>3.2 - N/A- Entity does not store cardholder data</p> <p>3.4 - N/A- Entity does not store cardholder data</p> <p>3.5 - N/A- Entity does not store cardholder data</p> <p>3.6 - N/A- Entity does not store cardholder data</p> <p>3.7 - N/A- Entity does not store cardholder data</p> <p>4.2.1.2- N/A- Entity does not have wireless networking</p> <p>4.2.2- N/A- Entity does not send PAN over end-user messaging technologies</p> <p>5.3.2.1 - N/A- All scans are in real time.</p> <p>6.4.1- N/A- Requirement superseded</p> <p>6.5.2- N/A- No significant changes observed</p> <p>7.2.6- N/A- Entity does not store cardholder data</p> <p>8.2.3- N/A- Entity does not have access to customer premises</p> <p>8.2.7- N/A- Entity does not allow TPSP access to their environment</p> <p>8.3.10- N/A- Requirement superseded</p> <p>9.4- N/A- Entity does not store cardholder data on physical media</p> <p>9.5- N/A- Entity does not host POI devices</p> <p>10.4.2- N/A- All logs are reviewed per SIEM rulesets</p> <p>10.4.2.1- N/A- All logs are reviewed per SIEM rulesets</p> <p>10.7- N/A- Requirement superseded</p> <p>11.3.1.3- N/A- No significant changes observed</p> <p>11.3.2.1- N/A- No significant changes observed</p> <p>11.4.5- N/A- Entity does not employ segmentation</p> <p>11.4.6- N/A- Entity does not employ segmentation</p> <p>11.4.7- N/A- Entity is not a MTSP</p> <p>12.3.2- N/A- Entity does not use customized approach</p> <p>12.5.3.b- N/A- No significant changes observed</p> <p>12.10.1.b- N/A- Entity has had no IRP triggered</p> <p>Appendix A1- N/A- Entity is not a MTSP</p> <p>Appendix A2- N/A- Entity does not leverage POI devices</p>
<p>For any Not Tested responses, identify which sub-requirements were not tested and the reason.</p>	<p>N/A</p>



## Section 2 Report on Compliance

### (ROC Sections 1.2 and 1.3)

Date Assessment began:	2025-Sep-11
<i>Note: This is the first date that evidence was gathered, or observations were made.</i>	
Date Assessment ended:	2025-Dec-26
<i>Note: This is the last date that evidence was gathered, or observations were made.</i>	
Were any requirements in the ROC unable to be met due to a legal constraint?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Were any testing activities performed remotely?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



## Section 3 Validation and Attestation Details

### Part 3. PCI DSS Validation (ROC Section 1.7)

**This AOC is based on results noted in the ROC dated (Date of Report as noted in the ROC 2025-Dec-30).**

Indicate below whether a full or partial PCI DSS assessment was completed:

- Full Assessment** – All requirements have been assessed and therefore no requirements were marked as Not Tested in the ROC.
- Partial Assessment** – One or more requirements have not been assessed and were therefore marked as Not Tested in the ROC. Any requirement not assessed is noted as Not Tested in Part 2g above.

Based on the results documented in the ROC noted above, each signatory identified in any of Parts 3b-3d, as applicable, assert(s) the following compliance status for the entity identified in Part 2 of this document (select one):

<input checked="" type="checkbox"/> <b>Compliant:</b> All sections of the PCI DSS ROC are complete, and all assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall <b>COMPLIANT</b> rating; thereby Wazuh Inc. has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above.								
<input type="checkbox"/> <b>Non-Compliant:</b> Not all sections of the PCI DSS ROC are complete, or one or more requirements are marked as Not in Place, resulting in an overall <b>NON-COMPLIANT</b> rating; thereby (Service Provider Company Name) has not demonstrated compliance with PCI DSS requirements.  <b>Target Date</b> for Compliance: YYYY-MM-DD  An entity submitting this form with a Non-Compliant status may be required to complete the Action Plan in Part 4 of this document. Confirm with the entity to which this AOC will be submitted before completing Part 4.								
<input type="checkbox"/> <b>Compliant but with Legal exception:</b> One or more assessed requirements in the ROC are marked as Not in Place due to a legal restriction that prevents the requirement from being met and all other assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall <b>COMPLIANT BUT WITH LEGAL EXCEPTION</b> rating; thereby (Service Provider Company Name) has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above or as Not in Place due to a legal restriction.  This option requires additional review from the entity to which this AOC will be submitted.  <i>If selected, complete the following:</i> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center; padding: 5px;"><b>Affected Requirement</b></th> <th style="text-align: center; padding: 5px;"><b>Details of how legal constraint prevents requirement from being met</b></th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;"></td><td style="padding: 5px;"></td></tr> <tr> <td style="padding: 5px;"></td><td style="padding: 5px;"></td></tr> <tr> <td style="padding: 5px;"></td><td style="padding: 5px;"></td></tr> </tbody> </table>	<b>Affected Requirement</b>	<b>Details of how legal constraint prevents requirement from being met</b>						
<b>Affected Requirement</b>	<b>Details of how legal constraint prevents requirement from being met</b>							



## Part 3. PCI DSS Validation (continued)

### Part 3a. Service Provider Acknowledgement

#### Signatory(s) confirms:

(Select all that apply)

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | The ROC was completed according to <i>PCI DSS</i> , Version 4.0.1 and was completed according to the instructions therein.                        |
| <input checked="" type="checkbox"/> | All information within the above-referenced ROC and in this attestation fairly represents the results of the Assessment in all material respects. |
| <input checked="" type="checkbox"/> | PCI DSS controls will be maintained at all times, as applicable to the entity's environment.  |

### Part 3b. Service Provider Attestation

Signed by:

A9582CEA77094CA...

Signature of Service Provider Executive Officer ↑

Date: 1/2/2026

Service Provider Executive Officer Name: Santiago Bassett

Title: CEO

### Part 3c. Qualified Security Assessor (QSA) Acknowledgement

If a QSA was involved or assisted with this Assessment, indicate the role performed:

QSA performed testing procedures.

QSA provided other assistance.

If selected, describe all role(s) performed:

Signed by:

FC3884B918B4498...

Signature of Lead QSA ↑

Date: 1/2/2026

Lead QSA Name: Mark Repka

Signed by:

FC3884B918B4498...

Signature of Duly Authorized Officer of QSA Company ↑

Date: 1/2/2026

Duly Authorized Officer Name: Mark Repka

QSA Company: MegaplanIT Holdings, LLC

### Part 3d. PCI SSC Internal Security Assessor (ISA) Involvement

If an ISA(s) was involved or assisted with this Assessment, indicate the role performed:

ISA(s) performed testing procedures.

ISA(s) provided other assistance.

If selected, describe all role(s) performed:



## Part 4. Action Plan for Non-Compliant Requirements

Only complete Part 4 upon request of the entity to which this AOC will be submitted, and only if the Assessment has Non-Compliant results noted in Section 3.

If asked to complete this section, select the appropriate response for “Compliant to PCI DSS Requirements” for each requirement below. For any “No” responses, include the date the entity expects to be compliant with the requirement and provide a brief description of the actions being taken to meet the requirement.

PCI DSS Requirement	Description of Requirement	Compliant to PCI DSS Requirements (Select One)		Remediation Date and Actions (If “NO” selected for any Requirement)
		YES	NO	
1	Install and maintain network security controls	<input type="checkbox"/>	<input type="checkbox"/>	
2	Apply secure configurations to all system components	<input type="checkbox"/>	<input type="checkbox"/>	
3	Protect stored account data	<input type="checkbox"/>	<input type="checkbox"/>	
4	Protect cardholder data with strong cryptography during transmission over open, public networks	<input type="checkbox"/>	<input type="checkbox"/>	
5	Protect all systems and networks from malicious software	<input type="checkbox"/>	<input type="checkbox"/>	
6	Develop and maintain secure systems and software	<input type="checkbox"/>	<input type="checkbox"/>	
7	Restrict access to system components and cardholder data by business need to know	<input type="checkbox"/>	<input type="checkbox"/>	
8	Identify users and authenticate access to system components	<input type="checkbox"/>	<input type="checkbox"/>	
9	Restrict physical access to cardholder data	<input type="checkbox"/>	<input type="checkbox"/>	
10	Log and monitor all access to system components and cardholder data	<input type="checkbox"/>	<input type="checkbox"/>	
11	Test security systems and networks regularly	<input type="checkbox"/>	<input type="checkbox"/>	
12	Support information security with organizational policies and programs	<input type="checkbox"/>	<input type="checkbox"/>	
Appendix A1	Additional PCI DSS Requirements for Multi-Tenant Service Providers	<input type="checkbox"/>	<input type="checkbox"/>	
Appendix A2	Additional PCI DSS Requirements for Entities using SSL/early TLS for Card-Present POS POI Terminal Connections	<input type="checkbox"/>	<input type="checkbox"/>	

*Note: The PCI Security Standards Council is a global standards body that provides resources for payment security professionals developed collaboratively with our stakeholder community. Our materials are accepted in numerous compliance programs worldwide. Please check with your individual compliance accepting organization to ensure that this form is acceptable in their program. For more information about PCI SSC and our stakeholder community please visit: [https://www.pcisecuritystandards.org/about\\_us/](https://www.pcisecuritystandards.org/about_us/)*